APPLICATION

FOR

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TITLE: OPERATING SYSTEM COORDINATED THERMAL
MANAGEMENT

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OPERATING SYSTEM COORDINATED THERMAL MANAGEMENT

Background

The invention relates to thermal management of processor-based systems.

5   Both hardware and software-controlled techniques exist for power and thermal management of processor-based systems. Software-based solutions are primarily utilized in connection with mobile platforms.

The software-controlled techniques involve an

10   interrupt generated when a processor temperature setting is exceeded. The processor may be throttled after detecting an over temperature condition by polling processor temperature. Generally, the software-controlled solutions have a slower response time than the hardware-controlled

15   solutions. In addition, there tends to be overshoot and undershoot problems with software-controlled solutions. The sensors utilized in software-controlled solutions are relatively slow and inaccurate. The on-die sensor (which is normally a diode) is not located on the hottest part of

20   the processor die.

The hardware-controlled solution, used in systems other than mobile systems, involves a processor that automatically engages processor throttling, reducing the effective clock rate when a temperature condition is

25   exceeded and disabling throttling when the processor is sufficiently cool. The hardware-controlled solution is based on an on-die binary sensor that indicates whether the

processor is either hot or not hot. An interrupt
capability may be available but is generally not utilized
by the operating system due to the infrequency of
throttling in desktop systems which are the primary
applications for hardware-controlled solutions. As a
result, operating systems may be unaware of hardware-
controlled throttling.

The software-controlled solution is based on the
premise that the platform exposes a variety of trip points
to the operating system. A trip point is a temperature for
a particular thermal region when some action should be
taken. As the temperature goes above or below any trip
point, the platform is responsible for notifying the
operating system of this event and the operating system
then takes an appropriate action.

When a temperature crosses a passive trip point, the
operating system is responsible for implementing an
algorithm to reduce the processor's temperature. It may do
so by generating a periodic event at a variable frequency.
The operating system then monitors the current temperature
as well as the last temperature and applies an algorithm to
make performance changes in order to keep the processor at
the target temperature.

While current versions of hardware-controlled
throttling reduce the frequency of the processor by rapidly
stopping and starting the processor, future versions of
hardware-controlled throttling may reduce the performance
state of the processor by reducing both frequency and
voltage. Because the hardware-controlled throttling is

directly activated and has an extremely fast response time, the trip point for triggering the passive thermal management can be set near the high temperature specification of the processor (known as the junction

5   temperature), thereby delivering high performance for most system designs.

Software-controlled throttling is exposed to the operating system, allowing the operating system to know the processor performance at all times. This becomes

10   especially important with future operating systems that guarantee some quality of service based upon the processor performance to the executing applications. This concept is known as guaranteed bandwidth allocation and is based on the processor's current performance level.

15   Hardware-controlled throttling is advantageous in that it delivers the best possible performance in any given thermal solution, has extremely fast response time and does not throttle prematurely. A disadvantage to hardware-controlled throttling is that the operating system is

20   completely unaware that the processor performance has been altered. Because of this, it may be expected that hardware-controlled throttling may cause issues with future operating systems that implement a guaranteed bandwidth scheduling.

25   Thus, there is a need for thermal management solutions that achieve advantages of both hardware and software-controlled techniques.

Brief Description of the Drawings

Figure 1 is a block diagram of a system according to an embodiment of the invention;

Figure 2 is a flow diagram of a power management
5   module in the system of Figure 1; and

Figure 3 is a flow diagram for another module in the system of Figure 1.

Detailed Description

Referring to Figure 1, a processor-based system 10
10   according to an embodiment of the invention includes one or more processors 12. The system 10 may include a general- or special-purpose computer, a microprocessor- or microcontroller-based system, a hand-held computing device, a set-top box, an appliance, a game system, or any
15   controller-based device in which the controller may be programmable.

One or more temperature sensor units 15 monitor system temperature in one or more corresponding thermal zones, each capable of issuing an interrupt, e.g., a system
20   management interrupt (SMI), a system controller interrupt (SCI), or some other notification when a sensed temperature rises above a preset target temperature T_t or falls below the target temperature T_t.

In one embodiment, when the monitored temperature is
25   above T_t, a thermal engage SMI is generated. On the other hand, when the monitored temperature is below T_t, a thermal disengage SMI is generated. While the monitored temperature remains above or below T_t, the thermal engage or

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disengage SMI may be generated at periodic intervals to allow software or firmware to manage the performance level of the processor.

In alternative embodiments, other components (e.g., bridge controller chips, peripheral controllers) in the system may be transitioned between or among the different performance states as well as throttled for system thermal management. In addition, thermal management in the system may be performed independently for multiple thermal zones.

In Figure 1, the interrupt event generated by the temperature sensor unit 15 may be routed directly to the processor 12 or to a host bridge 18 coupled between the processor 12 and a system bus 22, which may in one embodiment be a Peripheral Component Interconnect (PCI) bus, as defined in the PCI Local Bus Specification, Production Version, Revision 2.1, published on June 1, 1995. Alternatively, the interrupt event may be stored as a memory or I/O-mapped register bit that is polled by a software or firmware module.

To perform throttling, a clock control input (such as the stop clock input illustrated as G_STPCLK# in Figure 1 to an 80x86 or Pentium® family processor from Intel Corporation) is activated and deactivated according to a preset duty cycle. The signal G_STPCLK# is generated by thermal management control logic and routed to the STPCLK# input pin of processors made by Intel for example. The STPCLK# internally gates clocks to the core of these processors. Activation of the clock control input (by

driving G_STPCLK# low, for example) causes the processor 12
to enter a significantly reduced power mode in which an
internal clock of the processor is stopped and most
functions are disabled. Throttling is thus accomplished by

5   activating the clock control input a certain percentage of
the time to disable processor activity while allowing
processor activity the rest of the time.

Other components of the system 10 include a clock
generator 50 that generates a host clock BCLK to the

10   processor 12 and a voltage regulator 52 that regulates the
supply voltage of the processor 12. In one embodiment, the
clock generator 50, processor 12, and voltage regulator 52
are controllable to transition the system 10 between or
among different performance states.

15   A cache memory 14 is coupled to the processor 14 and
system memory 16 is controlled by a memory controller in
the host bridge 18. The system bus 22 may be coupled to
other components, including a video controller 24 coupled
to a display 26 and peripheral devices coupled through

20   slots 28. A secondary or expansion bus 46 is coupled by a
system bridge 34 to the system bus 22. The system bridge
34 may include interface circuits to different ports,
including a universal serial bus (USB) port 36 (as
described in the Universal Serial Bus Specification,

25   Revision 1.0, published in January 1996) and mass storage
ports 38 that may be coupled to mass storage devices such
as a hard disk drive, compact disc (CD) or digital video
disc (DVD) drives, and the like.

Other components coupled to the secondary bus 46 may include an input/output (I/O) circuit 40 connectable to a parallel port, serial port, floppy drive, and infrared port. A non-volatile memory 32 for storing Basic

5   Input/Output System (BIOS) routines may be located on the bus 46, as may a keyboard device 42 and an audio control device 44. The main power supply voltages in the system 10 are provided by a power supply circuit 56 that is coupled to a battery 60 and an external power source outlet 58.

10   References to specific components in the system 10 are for illustrative purposes--it is to be understood that other embodiments of the system 10 are possible.

Various software or firmware layers (formed of modules or routines, for example), including applications,

15   operating system modules, device drivers, BIOS modules, and interrupt handlers, may be stored in one or more storage media in the system. The storage media includes the hard disk drive, CD or DVD drive, floppy drive, non-volatile memory, and system memory. The modules, routines, or other

20   layers stored in the storage media contain instructions that when executed causes the system 10 to perform programmed acts.

The software or firmware layers, such as the thermal interrupt software 50 and the periodic timer software 70,

25   can be loaded into the system 10 in one of many different ways. For example, code segments stored on floppy disks, CD or DVD media, the hard disk, or transported through a network interface card, modem, or other interface mechanism may be loaded into the system 10 and executed as

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corresponding software or firmware layers. In the loading or transport process, data signals that are embodied as carrier waves (transmitted over telephone lines, network lines, wireless links, cables, and the like) may

5   communicate the code segments to the system 10.

Thermal interrupt software 50 initially determines whether a frequency change, high temperature or a low temperature interrupt has been received as indicated in diamond 52. High temperature and low temperature

10   interrupts are conventional software-controlled interrupts. The frequency change interrupt is hardware-controlled but differs from conventional hardware-controlled interrupts in that the operating system is notified at an appropriate time, for example to enable guaranteed bandwidth

15   allocation.

In some systems, rather than simply throttle the processor, the performance state of the processor 12 may be directly controlled. The performance state involves both the frequency and the voltage. In such case, throttling

20   may directly reduce or increase the performance state as the processor 12 goes above or below the on-die sensor 15 trip point.

On transitions to a lower performance state (due to the processor getting hotter), the processor's frequency is

25   reduced prior to reducing the processor voltage. The processor's performance, as seen by the operating system, will be reduced immediately. That is, the performance reduces as soon as the frequency is reduced.

On transitions to a higher performance state (due to the processor cooling down), the processor's frequency is not increased until the voltage is changed to a higher level. This voltage change is dependent on many factors.

5 In general, it takes some amount of time to create the voltage change. As a result, the performance change would lag the interrupt event if the interrupt event were generated upon the voltage change.

Instead, the interrupt event may be generated any time

10 the processor's phase locked loop (PLL) relocks at a new frequency level. Thus, when the interrupt fires, the operating system can read the processor's performance state, determine the new performance level of the processor, reschedule guaranteed bandwidth allocations as

15 required and then resume normal operations.

Referring to Figure 2, if an event is detected in diamond 52, the processor performance state is read. This may be done by accessing processor registers to determine the cause of the event as well as to take further action.

20 The amount of code is small, bounded and can be page locked in physical memory in some embodiments.

When the operating system receives any of the three sources of thermal management interrupt vectors, as determined in diamond 52, the processor can check whether

25 the processor is hot or cold and look up the current performance state, as indicated in block 54, based upon registers defined already and take appropriate action. Typical registers may include on-die throttling control and the performance state status register.

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In accordance with one embodiment of the present
invention, the new interrupt may be added to existing
interrupt models for hot and cold interrupt generation.
The frequency change interrupt may have an enable bit to
5 allow the operating system to enable or disable the event,
but no status register may be needed in some embodiments.

Next, a check at diamond 56 determines whether the
bandwidth contracts need to be adjusted in view of the
current processor performance state. If so, the contracts
10 are adjusted as indicated in block 58. Thereafter, the
bandwidth scheduling may be resumed as indicated in block
60. A check at diamond 62 determines whether a periodic
timer should be implemented. The operating system may
enable a periodic timer event to begin monitoring the
15 processor temperature if the interrupt is indicative of a
processor thermal event, as indicated block 64.

The periodic timer software 70, shown in Figure 3,
begins by incrementing the time as indicated in block 72.
A check at diamond 74 determines whether a time out has
20 occurred. If so, a check at diamond 76 determines whether
the processor is still hot. If so, the operating system
may decide to reduce the processor performance state and/or
enable on-die throttling and/or increase the internal
effective frequency of on-die throttling as indicated in
25 block 78.

A check at diamond 80 determines whether the processor
has now cooled off. If so, the operating system may decide
to increase the processor performance state and/or disable
on-die throttling and/or increase the internal effective

frequency of on-die throttling as indicated in block 82. Thereafter, the time is reset as indicated in block 84 and the flow may recycle.

Particularly with mobile platforms, increased
5 performance may be realized by utilizing the software and hardware-controlled solutions described above. By allowing hardware-controlled throttling to coexist with operating system dispatch algorithms, fast, efficient thermal management may be achieved in some embodiments while still
10 enabling guaranteed bandwidth allocation schemes.

While the present invention has been described with respect to a limited number of embodiments, those skilled in the art will appreciate numerous modifications and variations therefrom. It is intended that the appended
15 claims cover all such modifications and variations as fall within the true spirit and scope of this present invention.

What is claimed is: